



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

JOSHUA COATES

Application No.: 09/753,336

Filed: December 29, 2000

For: **A Failover Architecture for Local Devices that  
Access Remote Storage**

Art Group: 2114

Examiner: Le, Dieu Minh T.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

02/07/2006 CCHAU1 00000016 09753336

02 FC:1806

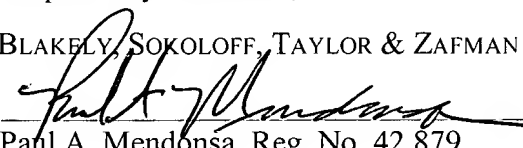
180.00 OP

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: February 02, 2006

  
Paul A. Mendonsa, Reg. No. 42,879

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 439-8778

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Katherine Jennings

02-02-06

Date

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	4
-------	---	----	---

**Complete if Known**

Application Number	09/753,336
Filing Date	December 29, 2000
First Named Inventor	Joshua Coates
Art Unit	2114
Examiner Name	Le, Dieu Minh T.
Attorney Docket Number	42390P19172X2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.1	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-6,912,548 B1	06-28-2005	Black	
	2	US-6,393,466 B1	05-21-2002	Hickman et al	
	3	US-6,256,673 B1	07-03-2001	Gayman	
	4	US-6,236,999 B1	05-22-2001	Jacobs et al	
	5	US-5,937,406	08-10-1999	Balabine et al	
	6	US-5,692,155	11-25-1997	Iskiyan et al	
	7	US-2002/0133491 A1	09-19-2002	Sim et al	
	8	US-2002/0083120 A1	06-27-2002	Soltis	
	9	US-2002/0133539 A1	09-19-2002	Monday	
	10	US-6,782,389 B1	08-24-2004	Chrin et al	
	11	US-6,654,772 B1	11-25-2003	Crow et al	
	12	US-6,895,418 B1	05-17-2005	Crow et al	
	13	US-6,931,450 B2	08-16-2005	Howard et al	
	14	US-6,360,306 B1	03-19-2002	Bergsten	
	15	US-6,081,883	06-27-2000	Popelka et al	
	16	US-6,356,929 B1	03-12-2002	Gall et al	
	17	US-6,948,062 B1	09-20-2005	Clapper	
	18	US-6,304,980 B1	10-16-2001	Beardsley et al	
	19	US-6,324,581 B1	11-27-2001	Xu et al	
	20	US-6,718,347 B1	04-06-2004	Wilson	

## FOREIGN PATENT DOCUMENTS

[illegible]Examiner  
Signature

Date	
Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional).<sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet	2	of	4
-------	---	----	---

Application Number	09/753,336
Filing Date	December 29, 2000
First Named Inventor	Joshua Coates
Art Unit	2114
Examiner Name	Le, Dieu Minh T.
Attorney Docket Number	42390P19172X2

Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	24	US-5,708,832	01-13-1998	Inniss et al	
	25	US-6,405,201 B1	06-11-2002	Nazari	
	26	US-6,128,627	10-03-2000	Mattis et al	
	27	US-2002/0014757 A1	01-24-2002	Granik et al	
	28	US-2002/0054114 A1	05-09-2002	Shuping et al	
	29	US-6,108,155	08-22-2000	Tanaka et al	
	30	US-5,497,422	03-05-1996	Tysen et al	
	31	US-5,506,984	04-09-1996	Miller	
	32	US-5,692,155	11-25-1997	Iskiyan et al	
	33	US-5,757,920	05-26-1998	Misra et al	
	34	US-5,805,699	09-08-1998	Akiyama et al	
	35	US-5,870,537	02-09-1999	Kern et al	
	36	US-5,987,577	11-02-1999	Rierden et al	
	37	US-6,148,349	11-14-2000	Chow et al	
	38	US-6,170,013 B1	01-02-2001	Murata	
	39	US-6,173,374 B1	01-09-2001	Heil et al	
	40	US-6,239,999 B1	05-22-2001	Jacobs et al	
	41	US-6,256,673	07-03-2001	Gayman	
	42	US-6,263,402 B1	07-17-2001	Ronstroem et al	
	43	US-6,314,465 B1	11-06-2001	Paul et al	

[illegible]

Date	
Considered	

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 4

**Complete if Known**

Application Number	09/753,336
Filing Date	December 29, 2000
First Named Inventor	Joshua Coates
Art Unit	2114
Examiner Name	Le, Dieu Minh T.
Attorney Docket Number	42390P19172X2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	44	US-6,327,614 B1	12-04-2001	Asano et al	
	45	US-6,351,775 B1	02-26-2002	Yu	
	46	US-6,438,125 B1	08-20-2002	Brothers	
	47	US-6,553,376 B1	04-22-2003	Lewis et al	
	48	US-6,553,389 B1	04-22-2003	Golding et al	
	49	US-6,611,869 B1	08-26-2003	Eschelbeck et al	
	50	US-6,622,247 B1	09-16-2003	Isaak	
	51	US-6,704,838 B2	03-09-2004	Anderson	
	52	US-6,389,462 B1	05-14-2002	Cohen et al	
	53	US-5,764,972	06-09-1998	Crouse et al	
	54	US-5,933,834	08-03-1999	Aichelen	
	55	US-5,937,406	08-10-1999	Balabine et al	
	56	US-5,550,986	08-27-1996	DuLac	
	57	US-5,923,846	07-13-1999	Gage et al	
	58	US-5,796,952	08-18-1998	Davis et al	
		US-			
		US-			
		US-			
		US-			
		US-			

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner  
Signature

Date	
Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>4</sup>Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>5</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>6</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>7</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

4

of

4

**Complete if Known**

Application Number	09/753,336
--------------------	------------

Filing Date	December 29, 2000
-------------	-------------------

First Named Inventor	Joshua Coates
----------------------	---------------

Art Unit	2114
----------	------

Examiner Name	Le, Dieu Minh T.
---------------	------------------

Attorney Docket Number	42390P19172X2
------------------------	---------------

## NON PATENT LITERATURE DOCUMENTS

[illegible]Examiner  
SignatureDate  
Considered

\***Examiner:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number.<sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08B (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.  
Send To: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450